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First Class Mail PTO/SB/21 (02-04) Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE collection of information unless it displays a valid OMB control number. Under the Paperwork Reduction Act of 1995, no persons are required to respond to Application Number 10/772,963 (Conf #5299) Filing Date TRANSMITTAL 5 February 2004 **FORM** First Named Inventor David P. BINGAMAN et al. Art Unit 1624 (to be used for all correspondence after initial filing) **Examiner Name** Attorney Docket Number 2471 US Total Number of Pages in This Submission **ENCLOSURES** (Check all that apply) After Allowance communication to Group Drawing(s) Fee Transmittal Form Anneal Communication to Board Licensing-related Papers of Appeals and Interferences Fee Attached Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) Amendment/Reply Petition to Convert to a Proprietary Information **Provisional Application** After Final Power of Attorney, Revocation Status Letter Change of Correspondence Address Affidavits/declaration(s) Other Enclosure(s) (please Terminal Disclaimer Identify below): **Extension of Time Request** Request for Refund **Express Abandonment Request** Return Card CD, Number of CD(s) Information Disclosure Statement Remarks Certified Copy of Priority Document(s) Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Teresa J. Schultz, Reg. No. 40,526 Individual name Signature Date CERTIFICATE OF TRANSMISSION/MAILING I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below. Typed or printed name Barbara McKenzie Date 18 May 2004

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: David P. BINGAMAN et al.

Serial No: 10/772,963 (Conf #5299)

Filed: 5 February 2004

Examiner:

Group Art Unit: 1624

FOR: FORMULATIONS OF GLUCOCORTICOIDS TO TREAT PATHOLOGIC

OCULAR ANGIOGENESIS

INFORMATION DISCLOSURE STATEMENT PURSUANT TO 37 C.F.R. 1.56, 1.97, AND 1.98

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Pursuant to the duty of disclosure under 37 C.F.R. 1.56, 1.97, and 1.98, Applicants submit the patents, articles, and other information referenced in the specification as filed. The references are listed on the attached PTO Form 1449. Applicants are submitting copies of the non-patent literature in accordance with 37 CFR 1.98(a)(2), copies of the U.S. patents are not enclosed.

Applicants request that the listed patents, articles, and other information be considered during prosecution of this application and that they appear among the "References Cited" on any patent issuing herefrom.

Respectfully submitted,

Teresa J. Schultz Registration No. 40,256

(817) 551-4231

Address for Correspondence: Alcon Research, Ltd. Attn: Teresa J. Schultz 6201 S. Freeway, Mail Code Q-148 Fort Worth, TX 76134-2099

Attorney Docket No.: 2471 US



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Substitute for form 1449/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Complete if Known			
Application Number	USSN 10/772,963 (Conf #5299)		
Filing Date	5 February 2004		
First Named Inventor	David P. BINGAMAN et al.		
Art Unit	1624		
Examiner Name			
Attorney Docket Number	2471 HC		

Examiner Initials*	Cite No.1	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevan Figures Appear
	140.	Number-Kind Code ^{2 (# known)}	IVIIVI-DD-1111		
	A1	^{US-} 4,771,042	09/13/1988	Braughler et al.	
	A2	^{US-} 4,853,224	08/01/1989	Wong	
	А3	^{US-} 4,975,537	12/04/1990	Aristoff et al.	
	A4	^{US-} 5,164,188	11/17/1992	Wong	
	A5	^{US-} 5,378,475	01/03/1995	Smith et al.	
	A6	^{US-} 5,773,019	06/30/1998	Ashton et al.	
	A7	^{US-} 5,869,079	02/09/1999	Wong et al.	
	A8	^{US-} 6,120,789	09/19/2000	Dunn	
	A9	^{US-} 6,413,245	07/02/2002	Yaacobi et al.	
	A10	^{US-} 6,413,540	07/02/2002	Yaacobi	
	A11	^{US-} 6,416,777	07/09/2002	Yaacobi	
	A12	US-			
	A13	US-			
	A14	US-			
	A15	US-			
	A16	US-			,
	A17	US-			
	A18	US-			
	A19	US-			

FOREIGN PATENT DOCUMENTS						
Initials* No.1		Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	T
	Country Code ^{3 -} Number ^{4 -} Kind Code ⁵ (<i>if known</i>)	MM-DD-YYYY	, pp. sam or once becamen	Or Relevant Figures Appear	T⁵	
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Substitute for form 1449/PTO		Complete if Known				
Gubsitote				Application Number	USSN 10/772,963 (Conf #5299)	
INFORMATION DISCLOSURE				Filing Date	5 February 2004	
STA	TEMENT	BY A	PPLICANT	First Named Inventor David P. BINGAMAN et al.		
(Use as many sheets as necessary)			occeand	Art Unit	1624	
	(Ose as many s	ineers as ne	cessary)	Examiner Name		
Sheet	2	of	2	Attorney Docket Number	2471 US	

		NON DATENT LITED ATUDE DOCUMENTS	
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	B1	Crum, et al., "A New Class of Steroids Inhibits Angiogenesis in the Presence of Heparin or a Heparin Fragment", SCIENCE, 230:1375-1378 (Dec 1985)	
	B2	Folkman J and Ingber DE, "Angiostatic Steroids", ANN. SURG., 206(3):374-383 (1987)	
	В3	Ingber, et al., "A Possible Mechanism for Inhibition of Angiogenesis by Angiostatic Steroids: Induction of Capillary Basement Membrane Dissolution", ENDOCRINOLOGY, 119(4):1768-1775 (1986)	
	B4	Li, et al., "Angiostatic Steroids Potentiated by Sulphated Cyclodextrin Inhibit Corneal Neovascularization", IOVS, 32(11):2898-2905 (October 1991)	
	B5		
	В6		
	В7		
	В8		
	В9		
	B10		

Examiner	Date	
Signature	Considered	

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1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.

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